

Attorney Docket No.: 3660P005

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Norman Adams, et al.

Examiner: Zurita, James H.

Application No.: 09/429,758

Art Unit: 3625

Filed:

October 28, 1999

For:

**OPERATING RESOURCE** 

MANAGEMENT SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### REQUEST FOR EXAMINER INITIALS

Sir:

Applicants request that the Examiner initial the cited documents on Form PTO-1449 submitted with an Information Disclosure Statement filed March 29, 2000 in the present application and return a photocopy of that initialed Form PTO-1449 to applicants. Applicants request the Examiner's initials in order to show consideration of the cited references.

EXPRESS MAI	LSTATEMENT
"Express Mail" mailing label number: EV567490698US	
Date of Deposit: August 4, 2005	
	osited with the United States Postal Service "Express Mail
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Commissioner of Patents, P.O. Box 1450, Alexandria, VA 2	22313-1450
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C. Marshall	(Signature of person mailing paper or fee)
9/4/2005	(Date Signed)

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(05/01/03)

A photocopy of the previously-submitted Form PTO-1449 is included herewith without copies of the previously submitted references.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Angust 4, 2005

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 FORM PTO-1449 (Modified) U.S. (Rev. 7/80) Patent and

U.S. Dept. of Commerce Patent and Trademark Office Atty Docket No.:
ARIB-P0110 USA

Appln. No.: 09/429,758

INTO DIATEON DISCLOSURE CITATION

Applicant(s):
ADAMS ET AL.

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Attorney Docket No.: 3660P005

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)
Norman Adams, et al.	) Examiner: Zurita, James H.
Application No.: 09/429,758	) Art Unit: 3625
Filed: October 28, 1999	)
For: OPERATING RESOURCE MANAGEMENT SYSTEM	) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX	37 C.F.R. §1.97(b).
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	A statement pursuant to 37 C.F.R. §1.97(e) or
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	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
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(1) A statement pursuant to 37 C.F.R. §1.97(e); and

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Lester J. Vincent

Reg. No. 31,460

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Substitute	for Form 1449/	PTO		Complete in	f Known	
			TION DISCLOSUR	<b>_</b>	Application Number	09/429,758
					Filing Date	October 28, 1999
	STATE	ME	ENT BY APPLICAN	First Named Inventor:	Norman Adams	
	OIPE	use a	s many sheets as necessary)		Art Unit	3625
		3			Examiner Name	Zurita, James H.
Sheet	AUG 0 41 2005	628	of	3	Attorney Docket Number	3660P005
	B	3	U.S. PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.		Document Number ber-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	5,189,608	2/23/1993	Lyons et al.	
		US-	5,594,791	1/14/1997	Szlam et al.	
		US-	5,596,744	1/21/1997	Dao et al.	
		US-	5,615,123	3/25/1997	Davidson et al.	
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		US-		Supplemental Control		

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵				

Examiner	Date Considered	
Signature		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

#### Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known						
Application Number	09/429,758	OIP				
Filing Date	October 28, 1999					
First Named Inventor:	Norman Adams	AUG 0 4 201				
Art Unit	3625	3				
Examiner Name	Zurita, James H.	EH.				
Attorney Docket Number	3660P005	RADEMAR				

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			NON PATENT	LITERATURE DOCUMENTS		
Examiner Initials*	Cite No <sup>1</sup>		nagazine, journa	APITAL LETTERS), title of the articl I, serial, symposium, catalog, etc.), publisher, city and/or country where	date, page(s), volume-issue	he T <sup>2</sup>
				International Search Report for PCT national Search Report, 7 pgs. (Se		
		Patent Cooperation August 23, 1999,		en Opinion for International applicati	ion No. PCT/US98/08407, dat	ed
		PCT Notification of Application No. Po (November 18, 19	CT/US98/08407	International Preliminary Examination Containing International Preliminary	on Report for PCT Counterpar y Examination Report, 8 pgs.	t
		Patent Abstract of	Japan, Volume	12, No. 401, Pub. No. 63141176, P	ub. Date June 13, 1988.	
		Patent Abstract of	Japan, Volume	096, No. 003, Pub. No. 07295901,	Pub. Date November 10, 1999	5.
<u> </u>		"Electronic Docum (March 1994)	nent," IBM Techn	nical Disclosure Bulletin, XP000441	554, Vol. 37, No. 3, pp. 489-4	90
				tional Proxies: An Object-based Infes, www.umi.com, pp. ii-250 (April 1		
		Object Framework	k, the Newest We	ep for C/S: How to Build C/S Apps eapon in Nextstep's Development A o. 4, pp. 26, 28, and 102 (April 1995	rsenal," DBMS (Database &	
		"Soft Notes: Wha (January 1995)	t's Next?," DBMS	S (Database & Client/Server Solution	ns), Vol. 8, No. 1, pp. 86	,
		Shell Haffner, "Mu	ltimedia and the	X Terminal," Internetwork, Vol. 5, N	No. 9 pp. S8 (September 1994	)
		John P. Burke, "Po (September 1993)		niversal Translator," HP Profession	al, Vol. 7, No. 9, pp. 12	

Examiner	Date	
Signature	Considered	

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Substitute for Form 1449/PTO					Complete if Known					
INFORMATION DISCLOSURE						Application Number		09/429,758	SIPE	
						Filing Date		October 28, 1999	<del>-</del>	(3)
STATEMENT BY APPLICANT (use as many sheets as necessary)					NI	First Named Inventor	:	Norman Adams	AUG 0 4 20	05 O
						Art Unit		3625	-	2
						Examiner Name		Zurita, James H.	En	ARTS
Sheet	3 <b>of</b>		3	3	Attorney Docket Number		Zurita, James H. 3660P005			
NON PATENT LITERATURE DOCUMENTS										
Examiner Initials*	Initials* No <sup>1</sup> item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issunumber(s), publisher, city and/or country where published						issue	T <sup>2</sup>		
		Alan Radding, "Five Types of Tools Get Data from Legacy Systems," Software Magazine, January Special Edition, Vol. 13, No.2, pp. 31-34, 40 -41 (January 1993)								
		"Working Up to a Warehouse," Communications Week (The Networking Newspaper), www.commweek.com (January 20, 1997)								
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